PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE type a plus sign (+) inside this box Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/069,177 Application February 13, 2002/IA: 8/10/00 **Filing Date** TRANSMITTAL FORM Daniel Hosten **First Named** (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** 21 KSN0023 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Affidavits/declaration(s) To Convert a Status Letter **Provisional Application** Power of Attorney, Revocation Additional Enclosure(s) **Extension of Time Request** Change of Correspondence (please identify below): Return Postcard **Terminal Disclaimer Express Abandonment Request** Small Entity Statement Information Disclosure Statement Request for Refund **Certified Copy of Priority** Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Daniel Tychonievich, Reg. No. 41,358 Baker & Daniels Individual name Signature

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Daniel Tychonievich

Signature

Date

June 25, 2002

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07/09/2002 LLANDGRA 00000050 10069177

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PTO/SB/17 (11-01)

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| FEE TRANSMITTAL for FY 2002                           |             | Complet if Known     |   |  |  |  |
|---|-------------|----------------------|---|--|--|--|
| for EV 20   | バルウ・ヘー      | Application Number   | 10/069,177<br>February 13, 2002/IA Filing 8/10/2000 |  |  |  |
| 101 F 1 20  | <i>1</i> 02 | Filing Date          |   |  |  |  |
| Patent fees are subject to annual revision.           |             | First Named Inventor | Daniel Hosten                                       |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27 |             | Examiner Name        |   |  |  |  |
|   |             | Group Art Unit       |   |  |  |  |
| TOTAL AMOUNT OF PAYMENT                               | \$170.00    | Attorney Docket No.  | KSN0023   |  |  |  |

| METHOD OF PAYMENT (check all that apply)   | 1           | FEE CALCULATION (continued) |             |                          |  |            |
|--|-------------|-----------------------------|-------------|--------------------------|--|------------|
| Check Credit card Money Other None   |             | 3. ADDITIONAL FEES          |             |                          |  |            |
| Deposit Account:   |             | <u>Entity</u><br>Fee        | Smal<br>Fee | <u>  Entity</u><br>  Fee | _  | 5 <b>5</b> |
| Deposit Account 02-0387  | Fee<br>Code | (\$)                        | Code        | (\$)                     | Fee Description Surcharge - late filing fee or oath                        | Fee Paid   |
| Number U2-0387   | 105<br>127  | 130<br>50                   | 205         | 65<br>25                 | Surcharge - late provisional filing fee or cover                           | 130.00     |
| Deposit Account Name  Baker & Daniels  |             | 30                          | 221         | 25                       | sheet  | L          |
|  |             | 130                         | 139         | 130                      | Non - English specification  |            |
| The Commissioner is authorized to: (check all that apply)  |             | 2,520                       | i           | 2,520                    | For filing a request for ex parte reexamination                            |            |
| Charge fee(s) indicated below Credit any overpayments  |             | 920*                        | 112         | 920*                     | Requesting publication of SIR prior to Examiner action                     |            |
| Charge any additonal fee(s) during the pendency of this application  |             | 1,840*                      | 113         | 1,840*                   | Requesting publication of SIR after Examiner action                        |            |
| Charge fee(s) indicated below, except for the filing fee   |             | 110                         | 215         | 55                       | Extension for reply within first month                                     |            |
| to the above identified deposit account.   |             | 400                         | 216         | 200                      | Extension for reply within second month                                    |            |
| FEE CALCULATION  | 117         | 920                         | 217         | 460                      | Extension for reply within third month                                     |            |
| BASIC FILING FEE  Large Entity   Small Entity  | 118         | 1,440                       | 218         | 720                      | Extension for reply within fourth month                                    |            |
| Fee Fee Fee Fee Description  | 128         | 1,960                       | 228         | 980                      | Extension for reply within fifth month                                     |            |
| Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee   | 119         | 320                         | 219         | 160                      | Notice of Appeal   |            |
| 106 330 206 165 Design filling fee   | 120         | 320                         | 220         | 160                      | Filing a brief in support of an appeal                                     |            |
| 107 510 207 255 Plant filing fee   | 121         | 280                         | 221         | 140                      | Request for oral hearing   |            |
| 108 740 208 370 Reissue filing fee   | 138         | 1,510                       | 138         | 1,510                    | Petition to institute a public use proceeding                              |            |
| 114 160 214 80 Provisional filing fee  | 140         | 110                         | 240         | 55                       | Petition to revive - unavoidable   |            |
| SUBTOTAL (1)   |             | 1,280                       | 241         | 640                      | Petition to revive - unintentional   |            |
| 2. EXTRA CLAIM FEES FOR UTILITY AND  |             | 1,280                       | 242         | 640                      | Utility issue fee (or reissue)   |            |
| Fee from   | 143         | 460                         | 243         | 230                      | Design issue fee   |            |
| Extra Claims below Fee Paid  Total Claims -20** = 0 X = 0.00   | 144         | 620                         | 244         | 310                      | Plant issue fee  |            |
| Independent 3** = 0 X = 0.00   | 122         | 130                         | 122         | 130                      | Petitions to the Commissioner  |            |
| Claims Wultiple Dependent =  | 123         | 50                          | 123         |                          | Processing fee under 37 CFR § 1.17(q)                                      |            |
| Large Entity   Small Entity  | 126         | 180                         | 126         | 180                      | Submission of Information Disclosure<br>Statement                          |            |
| Fee Fee Fee Fee Description Code (\$)  | 581         | 40                          | 581         | 40                       | Recording each patent assignment per property (times number of properties) | 40.00      |
| 103 18 203 9 Claims in excess of 20  | 146         | 740                         | 246         | 370                      | Filing a submission after final rejection (37 CFR § 1.129(a))              |            |
| 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid                    | 149         | 740                         | 249         | 370                      | For each additional invention to be examined (37 CFR. § 1.129(b))          |            |
| 109 84 209 42 ** Reissue independent claims  | 179         | 740                         | 279         | 37,0                     | Request for Continued Examination (RCE)                                    |            |
| over original patent   | 169         | 900                         | 169         | 900                      | Request for expedited examination  |            |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent  |             | er fee (                    | specify     | ·                        | of a design application  |            |
| SUBTOTAL (2) \$0.00  |             |                             |             |                          |  |            |
| **or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$170.00 |             |                             |             |                          | \$170.00   |            |
| SUBMITTED BY Complete (if applicable)  |             |                             |             |                          |  |            |

Registration No. 41,358 Telephone (574)234-4149 Name (Print/Type Signature June 25, 2002

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**CONFIRMATION NO. 7556** 

**371 FORMALITIES LETTER** 

\*OC000000007936292\*

| TO THE PROPERTY OF THE PARTY OF | FIRST NAMED APPLICANT | ATT              | Y. DOCKET NO.  |  |
|--|-----------------------|------------------|--|--|
| U.S. APPLICATION NUMBER NO.  | Daniel Hosten         |                  | KSN0023 INTERNATIONAL APPLICATION NO. PCT/DE00/02704 |  |
| 10/069,177   |                       | INTERNATIONAL AP |  |  |
|  | _                     | PCT/DE00         |  |  |
| Eric J Groen   | Γ                     | I.A. FILING DATE | PRIORITY DATE  |  |
| Baker & Daniels  |                       | 08/10/2000       | 08/13/1999   |  |
| 205 West Jefferson Blvd<br>Suite 250   |                       |                  | AATIONI NO TEEG                                      |  |

Date Mailed: 04/25/2002

South Bend, IN 46601

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

109.23.2913

MAKER & DANIELS

REPLY DUE:

DOCKET 1 BOCKET 2

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)



A copy of this notice MUST be returned with the response.

SHAKEEL AHMED

Telephone: (703) 305-3659

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| U.S. APPLICATION NOMBER NO. | - cm/D F00/00704              | KSN0023          |
| 10/069,177                  | PCT/DE00/02704                | KSINOZS          |

FORM PCT/DO/EO/905 (371 Formalities Notice)